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PTO-1390 (Rev. 2-2005)

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 392.1001

U.S. APPLICATION NO HIS known see-97 G.F.R. 1.5)

INTERNATIONAL APPLICATION NO. PCT/JP2003/011318

Letter re Priority (1 page).

TITLE OF INVENTION

INTERNATIONAL FILING DATE
04 September 2003

PRIORITY DATE CLAIMED

04 September 2002

ANTIBODY AGAINST SECRETED N-TERMINAL PEPTIDE OF GPC3 PRESENT IN BLOOD OR C-TERMINAL PEPTIDE OF GPC3

APPLICANT(S) FOR DO/EO/US Hiroyuki ABURANTANI, Yutaka MIDORIKAWA, Kiyotaka NAKANO, Iwao OHIZUMI, Yukio ITO and Susumu TOKITA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Datasheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: 11 sheets of formal drawings - Submission of sequence listing (1 page) and paper copy of sequence listing (22 pages); and

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. HIS Known (see 77 9.F. ft 1.50) INTERNATIONAL APPLICATION NO. PCT/JP2003/011320 | | | | | | ATTORNEY'S DOCKET NO. 392.1001 | | | |
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| The following fees have been submitted | | | | | CAI | CULATIONS | PTO USE ONLY | | |
| | Basic national fee\$300 | | | | | \$ 3 | 00.00 | <u> </u> | |
| 22. | Examination 1 | fee | | | | Ť | | · · · · · · · · · · · · · · · · · · | |
| If International preliminary examination report prepared by USPTO ands all claims satisfy | | | | | | | \$ 200.00 | | |
| provisions of PCT Article 333(1)-(4) | | | | | | | 00.00 | | |
| | | | | | \$200 | ├ | | - | |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the | | | | | | | | 1 | |
| USPTO as an International Searching Authority\$100 | | | | | | | 00.00 | | |
| International Search Report prepared and provided to the Office\$400 | | | | | | | | | |
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| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the | | | | | | | 30.00 | | |
| earliest claimed priority date (37 CFR 1.492(e))+ | | | | | | | | L | |
| CLAIMS | - N | IUMBER FILED | NUMBER EXTRA | | RATE | <u> </u> | | | |
| Total Claims | | 18 - 20 = | 0 | х | \$50 = | \$0 | .00 | | |
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| TOTAL OF ABOVE CALCULATIONS = | | | | | | | \$ 1130.00 | | |
| Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced | | | | | | e 5 | # 505 00 | | |
| by ½ | | | | | | 33 | \$ 565.00 | | |
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| (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | |
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| Davidson, Davidson & Kappel, LLC SIGNATURE | | | | | | | 7 | | |
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Applicant(s): Hiroyuki ABURANTANI et al.

Serial No.: PCT/JP2003/011318

Invention: ANTIBODY AGAINST SECRETED N-TERMINAL PEPTIDE OF GPC3 PRESENT IN

BLOOD OR C-TERMINAL PEPTIDE OF GPC3

Filing Date: September 4, 2003

- Transmittal Letter to the US DO/EO re filing under 35 U.S.C 371 (2 pages);
- Cover sheet of published International Application No. PCT/JP2003/011320 (1 page);
- English Translation of PCT application (72 pages);
- Eleven (11) Sheets of Formal Drawings;
- Preliminary Amendment (5 pgs):
- Application Data Sheet (3 pages);
- Submission of Sequence Listing under 37 CFR 1.821(e) (1 page) and 1 Diskette;
- Paper Copy of sequence listing (22 pages);
- Letter re Priority (1 page); and
- Check in the amount of \$565.00

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